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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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09/449,575

11/29/1999

JIN-YI PAN

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32294

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03/28/2005

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EXAMINER

TRAN, DZUNG D

ART UNIT

PAPER NUMBER

2633

DATE MAILED: 03/28/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

Application No.

09/449,575

Applicant(s)

PAN, JIN-YI

Examiner

Dzung D Tran

Art Unit

2633

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 08 October 2004.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-37 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-37 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                   | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

## DETAILED ACTION

### *Specification*

#### ***Claim Rejections - 35 USC § 102***

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

2. Claims 1-3, 15-18 and 34 are rejected under 35 U.S.C. 102(e) as being anticipated by Badt, Jr. et al. US patent no. 6,496,476.

Regarding claim 1, Badt, Jr discloses a method for establishing a protection path for a failed link between first and second nodes (figure 19, elements 42, 48) in a mesh network (figure 19) wherein a transfer of information from the first node (origin node) to the second node (destination node) is disrupted by the failed link (time span 112), the method comprising:

establishing an alternate path from the second node (destination node) to the first node (origin node) via a destination to source communication channel , wherein the destination to source communication channel is established through one or more alternate nodes (46, 48, 186) beginning at the second node and ending at the first node (figure 19, steps 4, 5, column 13, lines 13-38);

requesting each of the alternate nodes (46, 48, 186) to allow the information traffic flow from the first node to the second node along the alternate path (figure 19 shown a return message flown over the same path but in the opposite direction, column 13, lines 20-22, 39-58); and

switching the information traffic flow at the first node to the alternate path (figure 27, col. 14, lines 51-67). Badt, Jr further discloses in figure 27, the information traffic flown at the first node to the second node over a plurality alternate paths (e.g., maxflow step, paths 1-2-4, 1-3-2-4, 1-3-4). Therefore, it would be inherent that a first node send the information to the second node over the same alternate path that established by the second node (e.g., the plurality alternate paths includes the alternate path that established by the second node).

Regarding claim 2, Badt, Jr further discloses that the mesh network is an optical mesh network, and the information transferred comprises optical signals (figure 19).

Regarding claim 3, Badt, Jr further discloses that the optical mesh network is an optical mesh network incorporating wavelength division multiplexing whereby multiple optical signals each transmitted at a different wavelength are transmitted on a single optical fiber (figures 30-32).

Regarding claim 15, Badt, Jr further discloses that first node (42) is an information- originating source node from which the information transfer is initiated

Regarding claim 16, Badt, Jr further discloses that the first node (42) is an intermediate source node between the failed link and an information-originating source node from which the information transfer is initiated (figure 16).

Regarding claim 17, Badt, Jr further discloses that second node (48) is a targeted destination node to which the information transfer is ultimately directed.

Regarding claim 18, Badt, Jr further discloses that the second node (48) is an intermediate source node between the failed link and a targeted destination node to which the information transfer is ultimately directed (figure 16).

Regarding claim 34, Badt, Jr further disclose the send message is send from destination node to the source node whereby when the send message reach the source node, the alternate has been established (column 13, lines 13-58).

3. Claims 36 and 37 are rejected under 35 U.S.C. 102(e) as being anticipated by Azuma et al. U.S. patent no. 6,430,150.

Regarding claim 36, Azuma discloses in figure 6, a network node comprising:  
a port that connect to alarm message detecting part 10 and topology update message detecting part 20 configured to receive information from a communication link (34);

a control circuit (26) that is operably connected to the port and configured to a cross connect section (16, 18);

the cross connect section (16, 18) connected to the control circuit (26) and that is configured to direct network traffic flow between the first node (figure 2A, node A) and a second node (figure 2A, node B), and the control circuit (26) cause s the cross connection (16, 18) to execute a switch function to allow source to destination information traffic flow along a alternate path (col. 7, line 20 to col. 8, line 26).

Regarding claim 37, Azuma further discloses a network node is a WDM mesh network node (col. 1, lines 7-26).

***Claim Rejections - 35 USC § 103***

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

5. Claims 4-14 and 19-33 are rejected under 35 U.S.C. 103(a) as being unpatentable over Badt, Jr et al. US patent no. 6,496,476 in view of Azuma et al. U.S. patent no. 6,430,150.

Regarding claims 4, as per claims above, Badt, Jr discloses all the limitations except for optically switching the wavelengths of one or more of the optical signals of the failed link onto optical fibers establishing the alternate path. Azuma discloses for executing a switch function that comprises optically switching the wavelengths of one or more of the optical signals of the failed link onto optical fibers establishing the alternate path (column 4, line 62 to column 5, line 7). At the time of the invention was made, it would have been obvious to a person of ordinary skill in the art to include the teaching of Azuma in the system of Badt, Jr. One of ordinary skill in the art would have been motivated to do this since the switching allow the system to reroute the optical signals from service path to alternate path in the event of fault on the link or link failure. Thus,

the restoration paths allow the system to continue to serve the end users, therefore, improving the system reliability.

Regarding claim 5, Azuma et al. further disclose optically switching one or more of the optical signals of the failed link comprises switching the one or more optical signals to alternate ports of an optical cross-connect (column 4, line 63 to column 5, line 7).

Regarding claim 6, Azuma et al. further disclose a switch function that comprises switching the optical signals of failed optical fibers onto alternate optical fibers to establish the alternate path (column 2, lines 20-21, column 3, lines 14-17).

Regarding claim 7, Azuma et al. further disclose switching one or more of the optical signals of the failed fibers onto alternate optical fibers comprises collectively switching the one or more optical signals associated with the optical fibers of the failed link to different ports of a fiber cross-connect (column 4, line 63 to column 5, line 7, column 2, lines 20-21, column 3, lines 14-17) .

Regarding claim 8, Azuma et al. further disclose for establishing an alternate path from the second node to the first node comprises routing the destination-to-source communication channel along a predetermined path of the alternate nodes (column 1, lines 29-37).

Regarding claims 9 and 10, Azuma et al. further disclose for establishing an alternate path from the second node to the first node comprises routing the destination-to-source communication channel along a dynamically-generated path of the alternate nodes and selecting the potential alternate node for inclusion into the dynamically-generated path (column 1, lines 38-42).

Regarding claim 11, Azuma et al. further disclose a node address table (figures 1A, 1B).

Regarding claim 12, Azuma et al. further disclose the node status at least the next two hops of nodes (figure 5B, column 3, line 48).

Regarding claims 13 and 14, Azuma et al. further disclose for detecting the failed link at the second node (column 4, line 45-49).

Regarding claims 19 and 20, Azuma et al. further disclose transmitting a failure notification message from the second node to the first node via the destination-to-source communication channel, wherein the destination-to-source communication channel transmits the failure notification message from the second node to the first node by way of the alternate path (column 4, lines 44-49, column 9, lines 49-50).

Regarding claim 21, Azuma et al. further disclose that destination-to-source communication channel comprises one or more wavelengths dedicated to transmitting management information, including a link failure notification (column 3, line 62 to column 4, line 50).

Regarding claim 22, Azuma et al. further disclose a network protection configuration for use in optical mesh network topologies to reroute optical signals from a failed transmission path to one or more alternate transmission paths (abstract, figures 5A, column 1, lines 6-10), the network protection configuration comprising:  
an optical fiber network comprising a plurality of optical network nodes (figure 1, elements 1, 2, 3) and a communication channel established from the destination node



to the source node to transmit a path failure notification, wherein a route established by the destination-to-source communication channel traversing one or more of the optical network nodes defines the alternate transmission path, and wherein the network nodes defining the alternate transmission path are switched in response to the path failure notification to facilitate source-to-destination transmission of the optical signals from the failed transmission path along the alternate path (column 2, lines 11-54).

Regarding claims 23-26, Azuma et al. further disclose in figure 1A, 1B each of the optical network nodes further comprises memory to store an optical node address table, wherein the optical node address table maintains status information for surrounding optical network nodes being within at least two hops of the optical network node (claim 23), the status information comprises an optical node address for the surrounding optical network nodes (claim 24), the status information comprises node availability information for the surrounding optical network nodes (claim 25) and the status information comprises node bandwidth capacity information for the surrounding optical network nodes (claim 26).

Regarding claims 27 and 28, Azuma et al. further disclose each of the optical network nodes further comprises a fiber cross-connect circuit coupled to one or more of the optical fibers of the failed transmission path to switch the optical signals corresponding to a failed optical fiber to fiber cross-connect output ports to route the optical signals corresponding to the failed optical fiber to targeted optical fibers along the alternate path (column 4, line 63-column 5, line 7, column 2, lines 20-21, column 3, lines 14-17) .

Regarding claim 29, Azuma et al. further disclose that the optical mesh network is an optical mesh network incorporating wavelength division multiplexing whereby multiple optical signals each transmitted at a different wavelength are transmitted on a single optical fiber (figures 4A, 4B, 9, column 4, lines 41-51, column 11, lines 1-3).

Regarding claim 30, Azuma et al. further disclose for detecting the failed transmission path at the destination node (column 4, lines 45-50)

Regarding claim 31, Azuma et al. further disclose that each of the optical network nodes comprises switching means for rerouting the optical signals corresponding to the failed transmission to optical fibers along the alternate path in response to the path failure notification (column 7, lines 20-29).

Regarding claims 32 and 33, Azuma et al. further disclose an optical cross-connect circuit coupled to receive one or more of the optical signals and to switch the optical signals to particular output ports of the optical cross-connect to route the optical signals to targeted ones of the optical fibers (column 4, line 63 to column 5, line 7, column 2, lines 20-21, column 3, lines 14-17).

Regarding claim 35, Badt, Jr further disclose the send message is send from destination node to the source node whereby when the send message reach the source node, the alternate has been established (column 13, lines 13-58).

### ***Response to Arguments***

6. Applicant's arguments filed on 10/08/2004 have been fully considered but they are not persuasive.

**A Rejection of claims 1-3, 15-18 and 34 under *USC § 102(e)* as being anticipated by Badt, Jr. et al. US patent no. 6,496,476.**

Applicant argues that Badt fails to disclose or suggest the step of switching the information traffic flow at the first node to the alternate path when the destination to source communication channel is established at the first node. However, Badt clearly discloses the switching the information traffic flow at the first node to the alternate path (figure 27, col. 14, lines 51-67). Badt, Jr further discloses in figure 27, the information traffic flow at the first node to the second node over a plurality alternate paths (e.g., maxflow step, paths 1-2-4, 1-3-2-4, 1-3-4). Therefore, it would be inherent that the first node sends the information to the second node over the same alternate path that established by the second node (e.g., the plurality alternate paths includes the alternate path that established by the second node).

**B Rejection of claims 36 and 37 under *USC § 102(e)* as being anticipated by Azuma et al. U.S. patent no. 6,430,150.**

Applicant argues that Azuma fails to disclose or suggest at least the port configured to receive information from a destination to source communication link. However, Badt clearly discloses in figure 6, a port that connect to alarm message detecting part 10 and topology update message detecting part 20 configured to receive information from a communication link (34);

**C) Rejection of claims 4-14 and 19-33 under USC § 103(a) over Badt, Jr et al.  
US patent no. 6,496,476 in view of Azuma et al. U.S. patent no. 6,430,150.**

Applicant's argues that neither Badt nor Azuma references are direct to **optical networks**. However, Badt and Azuma disclose their network is a SONET network (synchronous optical network), see col. 8, lines 20-31, col. 15, lines 30-49 of Badt and col. 4, line 42 of Azuma.

***Conclusion***

7. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

8. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Dzung D Tran whose telephone number is (571) 272-3025. The examiner can normally be reached on 9:00 AM - 7:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jason Chan can be reached on (571) 272-3022. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Dzung Tran  
03/18/2005

  
**M. R. SEDIGHIAN**  
**PRIMARY EXAMINER**